



Digital Sales Reporting Message Suite
Standard

Part 6: Royalty Reporting Profile

(Version 1.1)

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1 Introduction

This standard was developed by the member organisations of the Digital Data Exchange, LLC (DDEX) and provides a message that gives a uniform mechanism to enable Licensees (typically Digital Service Providers) to report to Rights Controllers (typically Music Rights Societies, Music Publishers, Music Licensing Companies and/or Record Companies) information regarding the level of usage and/or revenue generated from the distribution of such products, as well as sales of products based on Releases, to the relevant Rights Controllers.

The Flat File Variant of the Digital Sales Reporting Message Suite Standard has been developed in response to concerns regarding the file size and computational complexity of the XML Variant, and will lead to reduced implementation and running cost for Licensees and Licensors for user-generated content where the Message Sender either has, or has not, received a claim for a sound recording from the owner of that sound recording (the “master” Recording).

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2 Scope

2.1 Introduction

The message defined in this standard provides a mechanism for Licensees (typically Digital Service Providers) to report to Rights Controllers (typically Music Rights Societies, Music Licensing Companies, Music Publishers and/or Record Companies) Royalties based on usages of musical Releases that took place in countries where there is a contractual link between Message Sender and Message Recipient (e.g. the US and Canada) to report royalties in addition to usage/sales figures.

This Profile is not intended to be used for “notices of intent” or “statutory rate” type of usages which (specifically in the case of streaming royalties) require a different data set to work out the royalty.

2.2 Organisation of the Standard

This standard comprises six clauses and one annex. Clauses 1-4 provide the scope, abbreviations and core definitions used in this standard. Clauses 5 and 6 then defines the profile.

Finally Annexes A provides some examples.

2.3 Release Notes (Informative)

Version 1.0.1 corrects an error in the structure of the profile and the LI01 record.

Version 1.1 clarifies the relationship between the different Record Types used and makes use of updated record Types.

Below is the list of changes made to the Royalty Reporting Profile over time:

Record Type	1.0	1.1
SY07	Base Record	.01
RE01	Base Record	.02
AS01	Base Record	.02
AS02	.01	.03
MW01	.01	.02
RU01	Base Record	.02
RU02	Base Record	.02
SU03	.01	.03

LI01	.01	.03
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3 Normative References

The following normative documents contain provisions, which through reference in this text constitute provisions of this standard. For dated references, subsequent amendments to, or revisions of, any of these publications do not apply. For undated references, the latest version applies.

- DDEX. Digital Sales Reporting Message Suite Standard - Part 1: Architecture of the Flat File Variant.
- DDEX. Digital Sales Reporting Message Suite Standard - Part 2: Allowed Value Sets.
- DDEX. Digital Sales Reporting Message Suite Standard - Part 8: Record Type Definitions.

4 Terms and Abbreviations

The terms and abbreviations used in Digital Sales Reporting Message Suite Standard – Part 1: Architecture of the Flat File Variant. also apply to this Standard.

5 Royalty Reporting Profile

This Profile is for reporting Royalties based on Usages, Revenues and/or Sales of Releases.

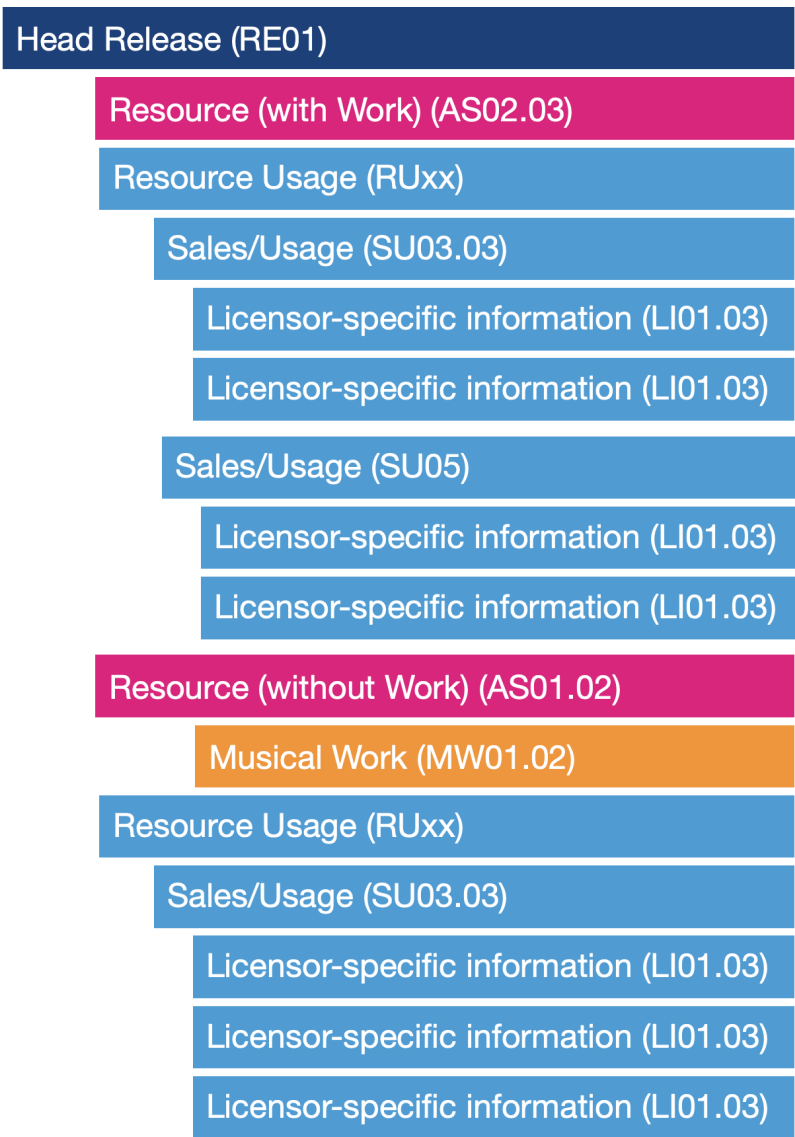
To indicate that a Sales/Usage Report is created in accordance with this Profile standard, the Profile Cell in the HEAD Record shall contain the value `RoyaltyReportingProfile` and the `ProfileVersion` Cell shall contain the value `1.1`.

The following Records shall be communicated for each Block in this order:

1. None or one Release Record of type RE01.02 describing a Head Release.
If the Message Sender has received a `NewReleaseMessage` defined in DDEX's Release Notification Message Suite Standard, the "Head Release" is the `AlbumRelease` as defined in the `NewReleaseMessage`;
2. One or more Intra-Block Groupings of Records providing:
 1. One Resource Records describing Resources of type AS01.02 or AS02.03.
These Records provide information regarding all the Sound Recordings, Videos and other Resources that are contained in the Head Release.
While the AS02.03 Record allows the communication of the basic details of a Musical Work that underpins the Resource, the AS01.02 Record does not allow this. Only Resources that have been used or sold shall be included in this section of the Block. For the avoidance of doubt, if a Head Release has been used or sold, all Resources of that Head Release need to be included in the Sales Report Message;
 2. None, one or more Musical Work Records of type MW01.02 describing Musical Works.
These Records provide information regarding all the Musical Works that are utilised in the Head Release and/or one of its Resources. As shown in Figure 5, the Musical Work Records are interspersed with the Resource Records. The Musical Work records immediately following a Resource record describe Works used in that Resource. MW01.02 Records may not be used in combination with AS02.01 Records;
 3. For each Work (in AS02.01 or MW01.02)
 1. None, one or many Records providing Resource Usage, Revenue or Sales figures of type RU01.02 or RU02.02.
 2. At least one Sales/Usage Record of type SU03.03; and
 3. One or more Licensor-specific Sales/Usage Record of type LI01.03 for each SU03.03 Record

The Blocks shall be preceded by one or more Summary Record SY07.01 for each Rights Controller to whom the Sales Report Message is addressed, to provide information on Usage, Revenue or Sales allocated to that Rights Controller according to the calculations made by the Message Sender. Each LI01.03 Record shall point to one of the SY07.01 Records.

The layout of a Block is depicted below (note that the RE01.02 can be omitted if no Release information is available and the AS02.01 can be replaced by a AS01.02 followed by a MW01.02):



The table below provides an overview of the order and cardinality of the Records to be used in this Profile.

Record Type	Cardinality	Comment
HEAD	1	
SY07.01	1-n	
Block	0-n	
I RE01.02	0-1	
I Intra-Block Grouping	1-n	
I I AS01.02 or AS02.03	1	
I I I MW01.02	1-n	May only follow AS01
I I RU01.02 or R02.02	0-n	

I SU03.03	1	
I I LI01.03	1-n	
FOOT	1	

For cases where the Message Sender has not received a claim for a sound recording from its owner, the AS01.02/AS02.03 record shall be virtually empty, only containing a RecordType and a BlockId.

Annex A (informative) Examples

Published alongside this standard are a series of Examples in the form of an Excel workbook. To transform them into Sales Report messages in accordance with this standard, the individual tabs of the spreadsheet can be saved as a tab-delimited file